

FORM PTO 1449 (modified)  
U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: May 21, 1999

ATTY DOCKET NO.  
030523/0136

SERIAL NO.  
09/232,488

APPLICANT  
Garner *et al.*

FILING DATE  
January 15, 1999

GROUP  
1632

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

All dates by D. Crouch. 2/7/2011		1	Garner's Preliminary Motion No. 1	8/16/2001
		2	Declaration of Alan Colman	8/16/2001
		3	Declaration of David Toman	8/16/2001
		4	Declaration of Lothar Hennighausen	8/16/2001
		5	Declaration of Jeffrey Rosen	8/16/2001
		6	Yarus <i>et al.</i> , <i>Genetic Engineering</i> , 18: 57-81 (1996)	
		7	Reddy <i>et al.</i> , <i>Animal Biotechnology</i> 2: 15-29 (1991)	
		8	Tojo <i>et al.</i> , <i>J. Reprod. Develop.</i> 39: 145-155 (1993)	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)  
 U.S. DEPARTMENT OF COMMERCE  
 PATENT AND TRADEMARK OFFICE  
 LIST OF REFERENCES CITED BY APPLICANT(S)  
 (Use several sheets if necessary)

ATTY DOCKET NO.  
 030523/0136

SERIAL NO.  
 09/232,488

APPLICANT  
 Garner *et al.*

FILING DATE  
 January 15, 1999

GROUP

Date Submitted to PTO: May 21, 1999

# U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

# FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

# OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	9	Farrell <i>et al.</i> , <i>Biochemistry</i> , 30: 9414-9420 (1991)
	16	Velander's Preliminary Motion No. 1 8/16/2001
	11	Garner's Opposition to Velander's Preliminary Motion No. 1 8/16/2001
	12	Prunkard <i>et al.</i> , <i>Nature Biotechnology</i> , 14:867-871, 870 (1996)
	13	Hurtley <i>et al.</i> , <i>Annu. Rev. Cell Biol.</i> 5: 277-307 (1989)
	14	Prunkard <i>et al.</i> , (Abstract) <i>J. Cell Biochem., Suppl. 17C</i> (1993)
	15	Drohan <i>et al.</i> , <i>Transgenic Research</i> , 3: 355-364 (1994)
	16	Velander <i>et al.</i> , <i>PNAS (USA)</i> , 89: 12003-12007 (1992)
	17	Pittius <i>et al.</i> , <i>PNAS USA</i> , 85: 5874 (1988)
	18	Simons <i>et al.</i> , <i>Biotechnology</i> , 6:179 (1988)
	19	Clark <i>et al.</i> , <i>Biotechnology</i> , 7:487 (1989)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)  
 U.S. DEPARTMENT OF COMMERCE  
 PATENT AND TRADEMARK OFFICE  
 LIST OF REFERENCES CITED BY APPLICANT(S)  
 (Use several sheets if necessary)

ATTY DOCKET NO.  
 030523/0136

SERIAL NO.  
 09/232,488

APPLICANT  
 Garner *et al.*

FILING DATE  
 January 15, 1999

GROUP

Date Submitted to PTO: May 21, 1999

# U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	20	5,304,489					
	21	5,366,894					

# FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	22	WO 90/05188					

# OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	23		Garner's Motion to Correct Inventorship. 8/16/2001
	24		Garner's Preliminary Motion No. 2 8/16/2001
	25		Hennighausen, <i>Protein Expression and Publication</i> 1: 3-8 (1990)
	26		Garner Exhibit No. 28- Typed Notes 8/16/2001
	27		Masoud <i>et al.</i> , <i>Ann. Zootech.</i> 45: -9 (1996)
	28		Garner's Reply in Support of Garner's Preliminary Motion 1 8/16/2001
	29		Second Declaration of Alan Colman 8/16/2001
	30		Declaration of Richard F. Lathe 8/16/2001

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.